

## Overview - Managing Compliance Obligations

Compliance is the process by which organisations identify and meet their strategic obligations whether arising from laws, regulations, industry codes or organisational standards.

In the face of heavier regulations, breaches of compliance and risk management are increasing, incurring harsher penalties for non-compliance.

To maintain high standards of compliance, your organisation needs an efficient and cost-effective compliance and auditing solution that delivers real and tangible value.

### Compliance Obligations

- Financial e.g. anti-money laundering
- Privacy
- Employment
- Contract management
- Insurance
- Industry regulations & technical requirements
- Complaints management
- IT
- Corporations law
- OHS
- Trade practices
- Internal obligations including policies & procedure



## Our Product

### Streamlining Compliance

The **compliance**manager supports all areas of compliance including OHS, quality assurance, environmental management, risk and financial, regulatory compliance, corporate governance, vendor assurance, contract management and project compliance.

RMSS' compliance solution can be used independently or integrated with other RMSS products to streamline your compliance and risk management processes, providing immediate financial, strategic and operational benefits.

With mobile tools allowing you to audit on-site and offline, corrective action tracking, and the capability to handle any type of audit, inspection or daily check, **compliance**manager provides the key to effective compliance management.

The **compliance**manager is a zero-footprint web-based solution. With all the advantages of RMSS' unique flexibility, the **compliance**manager can be tailored to your company's requirements no matter the industry or size.

RMSS' **compliance**manager can assist your organisation with:

- Audit planning and scheduling
- Audit checklist or work paper setup and management
- Audit conduct, online or in the field
- Audit reporting
- Corrective action generation and tracking
- Management reporting and analytics
- Enterprise-wide identification, assessment and control of risk
- Workplace surveys, inspections and observations

### Points to Consider:

- The level of compliance obligation is steadily mounting
- Environments are constantly changing
- The responsibilities of Senior Management have become increasingly challenging
- Penalties for non-compliance are increasing in severity and cost
- Investors and key stakeholders are demanding an effective compliance framework

### For More Information

To find out more about RMSS' **compliance**manager module, please contact RMSS:

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## compliancemanager

The **compliancemanager** allows organisations to quantify compliance and performance using a flexible, web-based, real-time audit framework.

The **compliancemanager** is used to manage both internal and external audits using any criteria, customisable scoring options and flexible scripting text.

The **compliancemanager** addresses the following key business requirements:

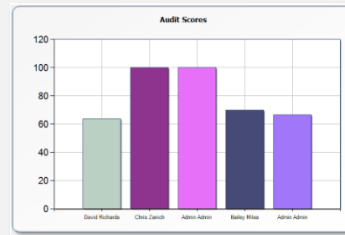
- Audit, measure and monitor the progress of unique and/or organisation-wide work units against a number of audit criteria (defined by the organisation)
- Select from a range of existing (or design your own) scoring models
- Internal and external respondent audit/survey capability
- Workplace inspections/observations and checklists (e.g. car park inspections, traffic management, sewage treatments, parks and gardens field equipment)
- Create online custom surveys (organisational health indicators/client satisfaction surveys)

Standard report examples include comparison reports (by organisational hierarchy based on criteria results), action plans, audit percentage/graph (compares recurring audit to track results and key risk indicators).

Use of mobile technology allows users to complete audits in the field increasing efficiencies and reducing paperwork and administration costs. This is of particular value for capturing data across multiple sites and at remote facilities.

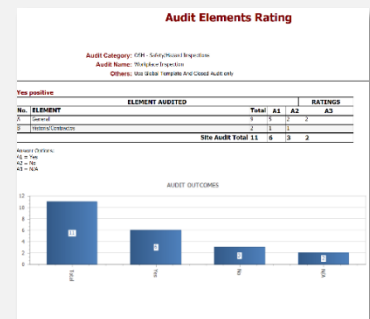
### Business Benefits

- ✓ Understanding of organisation's objectives and activities provides more effective planning and consistency of processes.
- ✓ Visibility of associated risks and corrective actions assists in achievement of objectives
- ✓ Clear, integrated compliance and risk management processes provide organisation-wide accountability and responsibility
- ✓ Automatic notification and escalation of compliance and non-compliance increases efficiency and communication
- ✓ Automatic generation and distribution of management and regulatory information also increases awareness of business position
- ✓ Offline auditing tools with data synchronisation capability means accurate data entry
- ✓ Zero-footprint, web-based application provides on-line, real time access to your compliance and risk management position, not limited by bandwidth or server performance



**Audit Score Chart:**  
Summary of numerical representation of the on-going/final result of the Audit

**Audit Elements Rating:**  
Summary of identified ratings according to Element Category.



**Audit Criterion Report:**  
Represents scores against each audit criterion.

